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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on December 12, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898680956US**, addressed to: U.S. Patent and Trademark Office, Box Patent Applications, P.O. Box 2327, Arlington, VA 22202.

Attorney Docket No.: CALIP006

First Named Inventor: CORBALIS

Sue Funchess

Sue Funchess

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

U.S. Patent and Trademark Office
Box Patent Applications
P.O. Box 2327
Arlington, VA 22202

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Charles CORBALIS, John E. BOWERS, Shifu YUAN, David WELSH, and Roger J. HELKEY

For: **METHOD AND APPARATUS FOR INDIRECT ADJUSTMENT OF OPTICAL SWITCH REFLECTORS**

Assigned to:

Application Elements:

- ☒ **44** Pages of Specification, Claims and Abstract
☒ **15** Sheets of Informal Drawings
☐ Pages Combined Declaration and Power of Attorney

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.
☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449
☐ Copies of IDS Citations
☐ Preliminary Amendment
☒ Return Receipt Postcard
☐ Other:

Fee Calculation (37 CFR § 1.16)

☒ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	56	MINUS	20	= 36	x 18 =	\$648.00
INDEP.	4	MINUS	3	= 1	x 84 =	\$84.00
[] First presentation of multiple dependent claim					\$280 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$740 =	\$740.00
TOTAL						\$1,472.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						\$736.00

☐ Check No. in the amount of \$ is enclosed.

☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No.).

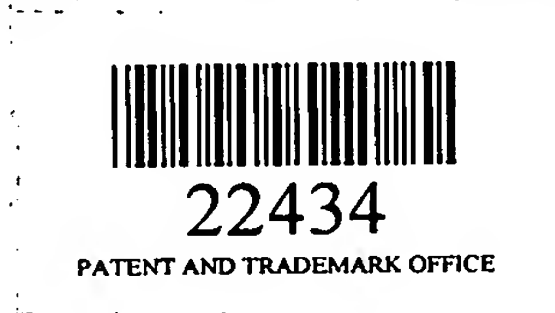
DO NOT CHARGE ANY FILING FEES TO OUR DEPOSIT ACCOUNT AT THIS TIME.

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. CALIP006).

☒ Please send correspondence to the following address:

Customer Number 022434



Date: December 12, 2001

Francis T. Kalinski II

Francis T. Kalinski II
Registration No. 44,177

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: CORBALIS et al.

Attorney Docket No.: CALIP006

Application No.: Filed Herewith

Examiner: Unassigned

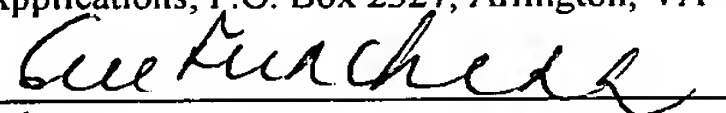
Filed: Herewith

Group: Unassigned

Title: METHOD AND APPARATUS FOR
INDIRECT ADJUSTMENT OF OPTICAL
SWITCH REFLECTORS

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Sue Funchess

**NONPUBLICATION REQUEST AND CERTIFICATION
(35 U.S.C. 122(b)(2)(B)(i))**

U.S. Patent and Trademark Office
Box Patent Applications
P.O. Box 2327
Arlington, VA 22202

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: December 12, 2001



Francis T. Kalinski II
Registration No. 44,177